

IDS - 04/09/2006 **ELECTRONIC INFORMATION DISCLOSURE STATEMENT**

Electronic Version v18

Stylesheet Version v18.0

Title of Invention	Faraday structured waveguide modulator
-------------------------------	--

Application Number : 10/811782
Confirmation Number: 9480
First Named Applicant: Sutherland Ellwood
Attorney Docket Number: 20028-7003
Art Unit: 2883
Examiner: T. L. Rude



Search string: (5844710 or 5889609 or 5901258 or 6103010 or 6208795 or 6333806 or 6339492 or 6417892 or 6441944 or 6542647 or 6577430 or 6757101 or 6762872 or 6813076 or 20010010593 or 20010023932 or 20010032940 or 20020003651 or 20020044710 or 20020139974 or 20020051279 or 20020063941 or 20030031443).pn

US Patent Documents

Note: Applicant is not required to submit a paper copy of cited US Patent Documents

init	Cite.No.	Patent No.	Date	Patentee	Kind	Class	Subclass
TR	AA*	5844710	1998-12-01	Fukushima, Nobuhiro			
	AB*	5889609	1999-03-30	Fukushima, Nobuhiro			
	AC*	5901258	1999-05-04	Nuang, Yidong			
	AD*	6103010	2000-08-15	Belouet, Christian			
	AE*	6208795	2001-03-27	Nakano, Yoshiaki et al.			
	AF*	6333806	2001-12-25	Onaka, Hiroshi et al.			
	AG*	6339492	2002-01-15	Terahara, Takafumi et al.			
	AH*	6417892	2002-07-09	Sharp, Gary D. et al.			
	AI*	6441944	2002-08-27	Kim, Sung-jun et al.			
	AJ*	6542647	2003-04-01	Minemoto, Hisashi et al.			
↓	AK*	6577430	2003-06-10	Jin, Guanghai et al.			
TR	AL*	6757101	2004-06-29	Jin, Guanghai et al.			

				al.			
TR	AM*	6762872	2004-07-13	Inoue, Mitsuteru et al.			
TR	AN*	6813076	2004-11-02	Okubo, Soichiro et al.	B2		
TR	AO*	20010010598	2001-08-02	Terahara, Takafumi et al.			
TR	AP*	20010023932	2001-09-27	Ohido, Atsushi et al.			
TR	AQ*	20010032940	2001-10-25	Iwatsuka, Shinji			
TR	AR*	20020003651	2002-01-10	Sui, Zhifeng			
TR	AS*	20020044710	2002-04-18	Hung, Henry			
TR	AT*	20020139974	2002-10-03	Matsushita, Takeshi et al.			
TR	AU*	20020051279	2002-05-02	Minemoto, Hisashi et al.			
TR	AV*	20020063941	2002-05-30	Matsushita, Takeshi et al.			
TR	AW*	20030031448	2003-02-13	Soljacic, Marin et al.			

Signature

Examiner Name	Date
/Timothy Rude/	06/23/2006

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/B/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(Use as many sheets as necessary)</i>				Complete if Known	
				Application Number	10/811,782-Conf. #9480
				Filing Date	March 29, 2004
				First Named Inventor	Sutherland C. Ellwood, Jr.
				Art Unit	2883
				Examiner Name	T. L. Rude
Sheet	1	of	1	Attorney Docket Number	20028-7003

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³ -Number-Kind Code ⁴ (if known)				

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
TR	CA	D. Budker et al., Resonant Magneto-Optic Rotation: New Twists in an Old Plot [online], [referenced in application and retrieved on 2006-03-29 by Examiner Omar Rojas in US Application 10/906,257]. Retrieved from the Internet: http://ist-socrates.berkeley.edu/~budker/papers/ResonantFaradayPaper/resFar.pdf	

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached.

Examiner Signature	/Timothy Rude/	Date Considered	06/23/2006
-----------------------	----------------	--------------------	------------